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PATENT

Customer Number: 22,852 Attorney Docket No. 07705.0001-01000

ED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ZAMBROWICZ et al.

Group Art Unit: 1632

Application No.: 10/797,613

Examiner: Shin Lin CHEN

Filed: March 9, 2004

Confirmation No. 3971

**VECTORS FOR GENE** For:

MUTAGENESIS AND GENE

DISCOVERY

**Mail Stop Amendment** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached IDS Form PTO/SB/08. This Supplemental Information Disclosure Statement is being filed, to the undersigned's knowledge, before the mailing date of a first Office Action on the merits for the abovereferenced application.

The documents listed on the attached Form PTO/SB/08 were cited in a communication mailed August 29, 2005, in a counterpart foreign application. A copy of that communication is enclosed. Thus, this Supplemental Information Disclosure Statement is being filed within 30 days of the mailing date of that communication.

Copies of the listed foreign patent publications and non-patent literature are

enclosed.

Applicants respectfully request that the Examiner consider the listed documents

and indicate that they were considered by making appropriate notations on the attached

form.

This submission does not represent that a search has been made or that no

better art exists and does not constitute an admission that each or all of the listed

documents are material or constitute "prior art." If the Examiner applies any of the

documents as prior art against any claim in the application and Applicants determine

that the cited documents do not constitute "prior art" under United States law,

Applicants reserve the right to present to the Office the relevant facts and law regarding

the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the

patentability of the claimed invention over the listed documents, should one or more of

the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please

charge the fee to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,

GARRETT & DUNNER, L.L.P.

Dated: September 28, 2005

Danielle M. Pasqualone

Reg. No. 43,847

Customer No. 22,852

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IDS Form PTO/SI	B/08: Substitute for form	1449A/FTO		Complete if Known		
		温 3	EP 2 8 2005	Application Number	10/797,613	
INFORMATION DISCLOSURE STATEMENT BY APPLICATION				Filing Date	March 9, 2004	
				First Named Inventor	Brian ZAMBROWICZ	
				Art Unit	1632	
	(Use as many sheets	as necessary)		Examiner Name	Shin Lin CHEN	
Sheet	1	of	2	Attorney Docket Number	07705.0001-01000	

	U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS						
	Cite	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where		
Initials	No.1	Number-Kind Code <sup>2</sup> (if known)			Relevant Passages or Relevant Figures Appear		
		US-					
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Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		WO 99/50426	10/07/1999	Lexicon Genetics Inc.		

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>	
		European Search Report mailed August 29, 2005, for European Patent Application No. EP 05 01 4225.		
		SALMINEN et al., "Efficient Poly A Trap Approach Allows the Capture of Genes Specifically Active in Differentiated Embryonic Stem Cells and in Mouse Embryos," Developmental Dynamics, 212:326-333 (1998)		
		ZAMBROWICZ et al., "Disruption and Sequence Identification of 2,000 Genes in Mouse Embryonic Stem Cells," Nature, 392:608-611 (1998)		
		ZAMBROWICZ et al., "Comprehensive Mammalian Genetics: History and Future Prospects of Gene Trapping in the Mouse," Int. J. Dev. Biol., 42:1025-1036 (1998)	_	

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Examiner	Date	
Signature	 Considered	